

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

----- X  
JOHN CROCKER,

Plaintiff,

vs.

DEUTSCHE BANK AG,

Defendant.  
----- X

:  
: **ECF Case**  
:  
: 14 Civ. 3833 (RA)(FM)  
:  
: **DEFENDANT'S RULE 7.1**  
: **STATEMENT**  
:  
:

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant Deutsche Bank AG, through its undersigned counsel, certifies that it has no corporate parents, and there is no publicly held corporation that owns 10% or more of Deutsche Bank AG's stock.

Dated: June 3, 2014  
New York, New York

SEYFARTH SHAW LLP

By: s/ Nicholas H. De Baun  
Nicholas H. De Baun  
620 Eighth Avenue  
New York, New York 10018  
Tel: (212) 218-5500  
Fax: (212) 218-5526  
ndebaun@seyfarth.com

*Attorneys for Defendant*  
Deutsche Bank AG

**CERTIFICATE OF SERVICE**

I hereby certify that on June 3 2014, I electronically filed Defendant's Rule 7.1 Statement with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to the following:

Blaine H. Bortnick, Esq.  
Liddle & Robinson LLP  
800 Third Avenue  
New York, New York 10022

s/ Nicholas H. De Baun  
Nicholas H. De Baun